

**Hertfordshire and West Essex adult (age≥18 years) treatment pathway  
SGLT2 inhibitors for treating chronic heart failure.**

Initiation criteria in line with NICE TA [679](#), [773](#), [902](#) and [929](#)  
Dapagliflozin and Empagliflozin are recommended as an option for treating symptomatic chronic heart failure in adults with:

**Reduced ejection fraction (HFrEF):**

- LVEF ≤ 40%
- NYHA II –IV
- Only to be used as an add on to optimised standard care with
  - ACE inhibitor / ARB or sacubitril/valsartan and
  - Beta blocker and
  - Mineralocorticoid receptor antagonist (MRAs) if tolerated

**Mildly reduced ejection fraction (HFmrEF)**

- LVEF 40-49%
- NYHA II –IV

**Preserved ejection fraction (HFpEF)**

- LVEF ≥50% with structural heart disease (e.g. left ventricular hypertrophy or left atrial dilatation) and / or diastolic dysfunction

**Before initiating SGLT2 inhibitor (dapagliflozin or empagliflozin):**  
 1) A diagnosis of heart failure must be confirmed by a cardiologist/HF specialist, based on raised NT-proBNP levels and specialist assessment including ECHO.  
 2) No risk factors for developing diabetic ketoacidosis (DKA) (only applicable to diabetic patients).

**All types of heart failure**

**Non-diabetic patients and patients with type 2 diabetes mellitus (T2DM) without insulin:**

**GREEN -Recommended for initiation in Community, Secondary or Tertiary care. Initiation may also be undertaken in primary care on the recommendation of a heart failure specialist or by primary care health professionals with a specialist interest in heart failure or who have the relevant expertise (for example, undergone relevant training).**

**NB: existing patients in primary care diagnosed with HFmrEF and HFpEF; SGLT2 inhibitor therapy can be initiated where an ECHO report is available (within 3 years, unless clinical situation has changed). Existing patients where the diagnosis is unclear, seek specialist advice prior to initiation as required.**

**For patients with T2DM with insulin:**

**AMBER INITIATION – recommended for restricted use - initiation by heart failure specialist or community/secondary care diabetes specialist teams. Continuation in primary care. Primary care health professionals with specialist interest in diabetes or who have undertaken the relevant training, may initiate.**

Obtain **baseline assessment** including HBA1c, U&Es, LFTs, weight and volume status.

#### Exclusion criteria

- **Type 1 diabetic mellitus**
- **Renal function:** eGFR <15ml/min for dapagliflozin, eGFR <20ml/min for empagliflozin
- Hospital admission with DKA
- Ketosis prone diabetes (patients with pancreatic cancer/pancreatitis and patients who rapidly progressed to insulin treatment within 1 year of diagnosis)
- Ketogenic diet or eating disorder (including for those patients on Total Diet Replacement (TDR))
- Conditions that lead to restricted food intake and severe dehydration
- Dapagliflozin/empagliflozin induced symptomatic hypotension
- Acute diabetic foot ulceration/acute foot ischaemia
- Hypersensitivity to dapagliflozin, empagliflozin or excipient, pregnancy & breastfeeding
- **Severe impaired hepatic function (empagliflozin):** not recommended

#### Cautions for initiation

- **Severe impaired hepatic function (dapagliflozin):** dose adjustment may be required for dapagliflozin (a starting dose of 5 mg is recommended. If well tolerated, the dose may be increased to 10 mg), no dose adjustment for empagliflozin
- Patients at increased risk of volume depletion (dehydration, hypovolaemia and hypotension): *SGLT2i have a diuretic effect which may lead to dehydration.*
  - Frailty/cognitive impairment. Increased risk of dehydration.
  - Diabetes with HbA1c > 86mmol/mol: increased risk due to osmotic symptoms; refer to diabetologist.
  - Patients on diuretics: increased diuresis; *diuretic dose adjustments may be required.*
- Systolic blood pressure below 95mmHg and symptomatic hypotension. *SGLT2 inhibitors increased diuresis which may lead to a modest decrease in blood pressure.* Caution should be exercised in patients for whom a SGLT2i-induced drop in blood pressure could pose a risk, such as patients on anti-hypertensive therapy with a history of hypotension or elderly patients.

**Check drug interactions:** See summary of product characteristics or British National Formulary for full details: Dapagliflozin [SPC](#); Dapagliflozin [BNE](#); Empagliflozin [SPC](#); Empagliflozin [BNF](#)

#### NB: patients with T2DM

- Patients already on SGLT2-inhibitor; discuss with the patient's clinician responsible for diabetes care if a change to dapagliflozin or empagliflozin is warranted.
- Prior to initiating dapagliflozin/empagliflozin for chronic heart failure, the anti-diabetic effect dapagliflozin/empagliflozin must be considered amongst other concurrent anti-diabetes medications. Doses of other glucose-lowering therapy may need to be reduced prior to initiation. Patients treated with dapagliflozin/empagliflozin for both heart failure and type 2 diabetes mellitus, additional glucose-lowering treatment should be considered in patients with moderate renal impairment (eGFR<45ml/min) because glucose lowering efficacy is reduced and likely absent in patients with severe renal impairment. *Patients may need to increase their frequency of blood glucose testing initially when dapagliflozin/empagliflozin is started to identify any resulting hypoglycaemia.*
  - ❖ **Patients on metformin, GLP-1 receptor agonists and DPP-4 inhibitors (gliptins):** low hypoglycaemia risk.
  - ❖ **Patients on sulphonylureas, a history of hypoglycaemia or with uncontrolled HbA1c** may require doses of existing glucose lowering therapies to be reduced prior to initiation of SGLT-2 to reduce the risk of hypoglycaemia: if required discuss with specialist in diabetes care (in community or secondary care).
  - ❖ Where patients are **on triple therapy**, consider replacing a glucose lowering therapy with dapagliflozin or empagliflozin; discuss with specialist in diabetes care (in community or secondary care)
  - ❖ **Patients on insulin:** refer to/discuss with specialist in diabetes care (in community or secondary care)

**Inclusion criteria are met, cautions have been addressed, necessary adjustments to concurrent diabetic medication have been made (applicable to diabetic patients only).**

The **recommended dose** of dapagliflozin/empagliflozin for heart failure is **10 mg once daily**. (Dose reduction to 5mg for severe liver impairment for dapagliflozin).

At initiation, discuss adverse effects and cautions for use including providing the following information to the patient:

- urine volume increase and risk of dehydration. Advise patients at risk of hypotension on signs and symptoms of hypotension.
- sick days, suspend SGLT2 inhibitor if vomiting, severe sepsis and peri-operatively (inform prescriber)
- fungal genital infection, counsel on genital hygiene and advise to stop SGLT2 inhibitor and seek urgent medical help if get symptoms of Fournier's gangrene (rare, [MHRA alert](#))
- avoidance of foot complications - suspend SGLT2 inhibitor if acute foot ulceration/ischaemia ([MHRA alert](#))
- Counsel patients on symptoms of DKA ([MHRA alert](#)) and T2DM sick-day rules (temporarily stop if they are unable to eat and drink or are fasting)
- Advise patients to not start a very low carbohydrate diet or ketogenic diet without discussing it with their health professional, because they may need to suspend the SGLT-2 inhibitor treatment.

**Suggested patient information leaflet:** available from Cardiology, Renal and Metabolic (CaReMe) group (an organisation of the following societies: Association of British Clinical Diabetologists, British Cardiovascular Society, The Renal Association, Primary Care Cardiovascular Society and Primary Care Diabetes Society). This is available on [https://abcd.care/sites/abcd.care/files/site\\_uploads/Images/ABCD\\_A4\\_Leaflet\\_Final%20%28002%29.jpg](https://abcd.care/sites/abcd.care/files/site_uploads/Images/ABCD_A4_Leaflet_Final%20%28002%29.jpg)  
For complete list of adverse drug reactions; see [SPC](#)

**Specialists transferring prescribing to primary care: Communicate to the GP clearly noting the indication for SGLT2 inhibitor as heart failure and request addition to repeat prescription.**

**Ongoing monitoring:**

There is no specific requirement for monitoring dapagliflozin and empagliflozin.

- Renal function should be monitored according to current guidelines for heart failure. A modest initial decline in eGFR that is hemodynamic in nature and reversible is characteristic of SGLT2 inhibitors and would generally not be an indication to discontinue therapy.  
[NICE CG106](#) 'Chronic heart failure in adults: diagnosis and management': The frequency of monitoring should depend on the clinical status and stability of the person. The monitoring interval should be short (days to 2 weeks) if the clinical condition or medication has changed but is needed at least 6-monthly for stable people with proven heart failure.
- Follow up for diabetic care (T2DM patients only): by the patient usual diabetic care provider. Monitor HbA1c in T2DM patients every 3 to 6 months (tailored to individual needs) until HbA1c is stable on unchanging therapy, then every 6 months as per [NICE NG28](#).

**Stopping criteria**

- Any of the exclusion criteria develop.
- Patient experiences any serious adverse reaction e.g. ketoacidosis, angioedema, Fournier's Gangrene. (Yellow card to be submitted to the MHRA & record in patients notes)
- In patients with intercurrent illness if not eating or at risk of dehydration. Only restart once better and back on normal diet.
- In patients admitted to hospital acutely unwell for any reason. Restart only once fully recovered and eating and drinking normally.
- In any patient having elective surgery who is missing more than one meal. Restart only once recovered and eating and drinking normally.

**GP to contact heart failure specialist if concerns arise on contra-indications, stopping criteria, cautions and monitoring results.**

**East and North Herts Trust heart failure team**

Phone 01438 284574, email [nurseledheartfailure.enh-tr@nhs.net](mailto:nurseledheartfailure.enh-tr@nhs.net)

**West Herts Hospital Trust heart function team**

phone 01923 217421, email [westherts.heartfunction@nhs.net](mailto:westherts.heartfunction@nhs.net)

**Central London Community Healthcare NHS Trust, Heart failure team**

Phone 0208 102 5891, email [clcht.westherts.communitycardiology@nhs.net](mailto:clcht.westherts.communitycardiology@nhs.net)

**Essex Partnership University NHS Foundation Trust, Community Heart Failure Nurse Specialists**

Phone 01279 621925, email [epunft.cardiacnurses@nhs.net](mailto:epunft.cardiacnurses@nhs.net)

**The Princess Alexandra Hospital heart failure nurse specialist team**

Phone 01279 962247, email [tpa-tr.heartfailure.pah@nhs.net](mailto:tpa-tr.heartfailure.pah@nhs.net)

**Hertfordshire Community Trust, Integrated heart failure team**

Phone 01438 583239, email [hct.ihfs@nhs.net](mailto:hct.ihfs@nhs.net)

**Further information**

1. [SGLT2 inhibitors: updated advice on the risk of diabetic ketoacidosis](#) published 18<sup>th</sup> April 2016
2. [SGLT2 inhibitors: updated advice on increased risk of lower-limb amputation \(mainly toes\)](#) published 22<sup>nd</sup> march 2017
3. [SGLT2 inhibitors: reports of Fournier’s gangrene \(necrotising fasciitis of the genitalia or perineum\)](#) published 18<sup>th</sup> Feb 2019
4. [SGLT2 inhibitors: monitor ketones in blood during treatment interruption for surgical procedures or acute serious medical illness](#) published 18<sup>th</sup> March 2020
5. SGLT2-2 inhibitor comparison document ‘Sodium-glucose cotransporter-2 (SGLT2) inhibitors (Gliflozins) in Adults with Type 2 Diabetes (T2DM), accessed via [download \(hweclinicalguidance.nhs.uk\)](#)
6. Hertfordshire and West Essex adult (age ≥18 years) treatment pathway Dapagliflozin, Empagliflozin & Canagliflozin for treating Chronic Kidney Disease (CKD) with or without Type 2 Diabetes based on NICE TA775, NICE TA 942, NICE NG28 and NG203, accessed via [download \(hweclinicalguidance.nhs.uk\)](#)

**References consulted include:**

1. Dapagliflozin for treating chronic heart failure with reduced ejection fraction, NICE TA 679, Published Feb 2021 <https://www.nice.org.uk/guidance/TA679>, accessed June 2021
2. Mc Murray et al., ‘Dapagliflozin in patients with heart failure and reduced ejection fraction (Dapa-HF)’, N Engl J Med 2019, 381(21):1995-2008
3. Forxiga 10 mg film-coated tablets, accessed via <https://www.medicines.org.uk/emc/product/7607>, June 2021
4. CaReMe heart failure algorithm November 2020, accessed via <https://www.bsh.org.uk/wp-content/uploads/2020/12/CARE-HF-Algorithm-final-version-Nov-2020-1.pdf>, June 2021
5. Chronic heart failure in adults: diagnosis and management, NICE guidance 106, published September 2018 <https://www.nice.org.uk/guidance/ng106>, accessed June 2021
6. Empagliflozin for treating chronic heart failure with reduced ejection fraction, NICE TA 773, Published March 2022 <https://www.nice.org.uk/guidance/ta773>, accessed April 2022
7. Packer M. et al, ‘Cardiovascular and Renal Outcomes with Empagliflozin in Heart Failure (Emperor-Reduced)’, N Engl J Med 2020, 383(15):1413-1424
8. Jardiance 10mg film-coated tablets, accessed via <https://www.medicines.org.uk/emc/product/5441/smpc>, April 2022
9. Dapagliflozin for treating chronic heart failure with preserved or mildly reduced ejection fraction, NICE TA902, Published June 2023
10. Solomon S et al., ‘Dapagliflozin in Heart Failure with Mildly Reduced or Preserved Ejection Fraction (Deliver)’, N Engl J Med 2022, 387:1089-1098
11. Empagliflozin for treating chronic heart failure with preserved or mildly reduced ejection fraction, NICE TA929, Published November 2023
12. Anker S et al., ‘Empagliflozin in Heart Failure with a Preserved Ejection Fraction (emperor-preserved)’, N Engl J Med 2023, 385(16); 1451-1461

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